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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|---|--|---|----------------------|
| <b>0001/PTO</b><br>Rev. 10/95   | U.S. Department of Commerce<br>Patent and Trademark Office | <b>Application Number</b>                       | 09/774,236           |
|   |  | <b>Filing Date</b>                              | January 29, 2001     |
|   |  | <b>First Named Inventor</b>                     | Daniel Isaac Goodman |
|   |  | <b>Group Art Unit Number</b>                    | 2182                 |
|   |  | <b>Examiner Name</b>                            | Not yet known        |
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence during pendency of filed application) |  | <b>Total Number of Pages in This Submission</b> | 17                   |
|   |  | <b>Attorney Docket Number</b>                   | 21939-5720           |

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| SIGNATURE OF ATTORNEY OR AGENT |                                     |
|--------------------------------|-------------------------------------|
| Signature:                     | <i>Laura Majerus</i>                |
| Attorney/Reg. No.:             | Laura A. Majerus / Reg. No.: 33,417 |
| Dated:                         | 02/13/02                            |

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21939/05720/DOCS/1244944.1



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Daniel Isaac Goodman and Daniel Schreiber

APPLICATION NO.: 09/774,236

FILING DATE: January 29, 2001

TITLE: Method And System For Copy Protection Of Data Content

EXAMINER: Not yet known

GROUP ART UNIT: 2182

ATTY. DKT. NO.: 21939-05720

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Dated: 02/13/02

By: Laura Majerus  
Laura A. Majerus, Reg. No.: 33,417

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
DANIEL ISAAC GOODMAN AND DANIEL  
SCHREIBER

Dated: 02/13/02

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Sheet 1 of 1

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney's Docket No.  
21939-05720Serial No.  
09/774,236

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant  
Daniel Isaac Goodman et al.Filing Date  
January 29, 2001Group Art Unit  
2182

## U.S. PATENT DOCUMENTS

| Examiner Initial |   | Document Number | Date     | Name            | Class | Subclass | Filing Date If Appropriate |
|------------------|---|-----------------|----------|-----------------|-------|----------|----------------------------|
|                  | A | 6,240,450       | 05/29/01 | Sharples et al. | 709   | 224      |                            |
|                  |   |                 |          |                 |       |          |                            |
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|                  |   |                 |          |                 |       |          |                            |
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## FOREIGN PATENT DOCUMENTS

|  |  | Document Number | Date | Country | Class | Subclass | Translation |    |
|--|--|-----------------|------|---------|-------|----------|-------------|----|
|  |  |                 |      |         |       |          | Yes         | No |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.